

**Auburn University
Harrison School of Pharmacy**

**Code of Ethical
&
Professional Conduct**

Revised July 2008

1.00 PREAMBLE

- 1.01** A student pharmacist holds the health and safety of patients to be of primary importance. The student renders to each patient the full measure of his/her ability as an essential health care practitioner.
- 1.02** A student pharmacist strives to gain professional knowledge and to render the best professional judgment.
- 1.03** A student pharmacist is required to obey the law, to uphold the dignity and honor of the profession, and to accept its ethical principles. The student shall not engage in any activity that will discredit the profession. The student shall expose, without fear or favor, illegal and unethical conduct in the profession.
- 1.04** The principles of professional conduct for students of the Harrison School of Pharmacy (HSOP hereafter) have been established to guide the student in his/her relationship with fellow students, faculty, staff, health care professionals and the public. Toward this end, the students and faculty of HSOP have adopted this Code of Ethical and Professional Conduct, hereafter referred to as the “Code”.

2.00 PLEDGE

- 2.01** As a condition of acceptance to HSOP, the applicant shall be required to sign a pledge that shall read as follows:

This is to certify that I have read and understand the Code of Ethical and Professional Conduct of the Auburn University Harrison School of Pharmacy and further, I agree to uphold and abide by the provisions contained therein, effective immediately and until my enrollment in HSOP is terminated.

3.00 VIOLATIONS

- 3.01** Violations of HSOP Code of Ethical and Professional Conduct pertaining to academic honesty **include but are not limited to:**

 - 3.01.1** The receipt, possession or use of any material or assistance not authorized by the instructor in the preparation of papers, reports, examinations, or any class assignment to be submitted for credit as a part of a course or to be submitted to fulfill HSOP requirements. The receipt, possession or use of any aid or material prohibited by the instructor while an examination or quiz is in progress.

- 3.01.2 Knowingly giving assistance not authorized by the instructor to another in the preparation of papers, reports, or laboratory data and products.
- 3.01.3 Knowingly giving assistance not authorized by the instructor to another while an examination or quiz is in progress.
- 3.01.4 Lending, giving, selling or otherwise furnishing to another any material or information not authorized by the instructor which can be shown to contain the questions or answers to any examination or quiz scheduled to be given at a subsequent date.
- 3.01.5 The submission of papers, reports, projects or similar course requirements, or parts thereof, that is not the work of the student submitting them. Also, the use of direct quotations or ideas of another in materials to be submitted for credit without appropriate acknowledgment.
- 3.01.6 Knowingly submitting a paper, report, examination or any class assignment that has been altered or corrected, in part or in whole, for reevaluation or regrading.
- 3.01.7 Altering or attempting to alter an assigned grade on any official HSOP or University record.
- 3.01.8 The instructor may delineate in advance other actions he/she considers to be a violation of the Code.
- 3.02** Violations of HSOP Code of Professional Conduct pertaining to professional conduct include:
 - 3.02.1 Purposely falsifying applications, forms or records prior to admission to HSOP, or while enrolled in the School's professional programs. This includes, but is not limited to: falsifying pharmacy practice experience (PPE) visits, falsifying clinical information in PPE documents, and/or falsifying clinical information in any patient record.
 - 3.02.2 Knowingly producing false evidence (or rumors) against another or providing false statements or charges in bad faith against another. Knowingly publishing or circulating false information concerning any member of the University faculty, student body, staff or community.
 - 3.02.3 Contributing to, or engaging in, any activity which disrupts or obstructs the teaching, research or extension programs of HSOP or the University, either on the University's campus or at affiliated training sites.
 - 3.02.4 Threatening or purposely committing physical violence against any member of the University faculty, student body, staff or community.
 - 3.02.5 Misusing or misrepresenting one's status as a student pharmacist or the right to use any University property and facilities.

- 3.02.6 Stealing, damaging, defacing, or unauthorized use of any property of HSOP or University. Diversion of any HSOP or University property to one's own use.
- 3.02.7 Engaging in any facet of pharmacy practice prior to graduation unless under the direct supervision of a licensed practitioner or otherwise allowed by law.
- 3.02.8 Intentionally revealing the names of the charging party, accused, witnesses or the facts involved in an alleged violation except in accordance with the provisions of this Code or revealing the confidential proceedings of an Honor Board hearing.
- 3.02.9 Failure to report known violations of HSOP's Code of Ethical and Professional Conduct.
- 3.02.10 Use, possession, or participating in the trafficking of illegal drugs or substances.
- 3.02.11 Unauthorized accessing of information about faculty, staff, or students of HSOP, or patients/clients, that is private or confidential.
- 3.02.12 Unauthorized revealing of information about faculty, staff or students of HSOP, or patients/clients, that is private or confidential.
- 3.02.13 Any violation of university policy as specified in the Auburn University Tiger Cub.
- 3.02.14 Any violation of the "Faculty Student Covenant" including treating faculty, staff, students and employees of HSOP and Auburn University with disrespect through inappropriate behavior or communication.
- 3.02.15 Treating patients with disrespect.

4.00 SANCTIONS AND RECORDS

4.01 The following sanctions, alone or in combination, may be imposed for violation of the Code by the Dean of HSOP upon recommendation by the Board of Ethical and Professional Conduct:

4.01.1 Reprimand with inclusion of a letter of reprimand in the student's file that is maintained in the Dean's Office for a period of time designated by the Dean. This letter will remain in the student's file for not less than the following two academic terms of residence.

It will be the responsibility of the student to request removal of the letter of reprimand from his/her file after the designated time period. Such requests must be submitted in writing to the Dean of HSOP.

4.01.2 Assignment of a grade of "F" in the course in which the violation(s) occurred.

4.01.3 Assignment of a grade of "F" in the course in which the violation(s) occurred and a notation of "assigned for academic dishonesty" placed on the student's transcript for a designated period of time.

It will be the responsibility of the student to request removal of the notation of sanction associated with the assignment of a grade of "F" after the designated time period. Such requests must be submitted in writing to the Dean of HSOP.

4.01.4 Disciplinary probation for a stated period of time which will include loss of privilege to represent HSOP, hold an elected office or appointment to any HSOP committee or participation in HSOP's extracurricular activities. A notation of the conditions of probation will be included in the student's record. A student who fails to abide by the conditions of his or her probation will be subject to further disciplinary action, including suspension or expulsion.

It will be the responsibility of the student to request removal of the notation of probation after this sanction has expired. Such requests must be submitted in writing to the Dean of HSOP.

4.01.5 Suspension from HSOP for a stated period of time during which the student will not be allowed to take any courses in HSOP. Furthermore, HSOP will not accept credit for any coursework that was completed by the student at Auburn University or any other institution while he/she was suspended from HSOP. The appropriate notation of "suspension for academic dishonesty" or "suspension for violation of the Code of Ethical and Professional Conduct" will be placed on the student's transcript.

It will be the responsibility of the student to request removal of the notation of suspension after this sanction has expired. Such requests must be submitted in writing to the Dean of HSOP.

4.01.6 Expulsion from HSOP. Expulsion for violation of the Code will be noted permanently on the student's transcript.

4.02 If a student has been subjected to sanctions for violation of the Code previously, the minimum sanction for the current violation will be suspension.

4.03 Sanctions including probation, suspension and expulsion will apply only to HSOP and its academic programs.

5.00 THE BOARD OF ETHICAL AND PROFESSIONAL CONDUCT

5.01 Composition of the Board of Ethical and Professional Conduct hereafter referred to as the "Board."

- 5.01.1 The Board will consist of four student pharmacist members, one from each class, and three faculty members. One faculty member will be appointed by the Dean to represent each of the three academic departments of HSOP. The Dean will also appoint one alternate member from each of the three academic departments of HSOP
- 5.01.2 The student members and student alternates of the Board will be elected as Class Justices each year by the Student Council of HSOP by the process described below. These elections will occur individually at both the Auburn and Mobile campuses of HSOP. The representatives will be elected by classmates of their respective campus and will represent their individual class and campus as Class Justice and on the Student Council.

The results of the elections will be forwarded to the Dean by the President or Vice-President of the Student Council within one week. Subsequent to these elections, the Dean will name a P1 student, a P2 student, a P3 student, and a P4 student from the elected Class Justices to be the four primary student members of the Board. The Dean will also name four alternate student Board members, one representing each class, from the elected Class Justices.

Each student member and alternate elected will serve a one-year term to begin immediately following the election. If a vacancy occurs during the year, the Dean will appoint a replacement to complete the term.

PROFESSIONAL YEAR P1: Within the fall semester, the Student Council will call for nominations of P1 students to represent their class as Class Justice with the understanding that the Class Justices will serve on the Board. After the nominations are closed, the President or Vice-President of the Council will contact each nominee to determine their willingness to serve on the Board. The Council will then prepare a ballot listing the names of all nominees willing to serve and conduct an election in which only members of the P1 class are eligible to vote. On the Auburn Campus, the nominees who receive the first and second highest number of votes will be elected as the P1 Class Justices. On the Mobile Campus, the nominee who receives the highest number of votes will be elected as the P1 Class Justice. The Dean will then select one primary member and one alternative member to serve on the Board from the 3 Class Justices elected across both campuses.

PROFESSIONAL YEAR P2: Within the spring semester, the Student Council will call for nominations of P1 students to represent their class as Class Justice with the understanding that the Class Justices will serve on the Board during their P2 year. Two weeks will be allowed for placement of names in nomination. After the nominations are closed, the President or Vice-President of the Council will contact each nominee to determine their willingness to serve on the Board. The Council will then prepare a ballot listing the names of all nominees willing to serve and conduct an election in which only members of the P1 class are eligible to vote. On the Auburn Campus, the nominees who receive the first and second highest number of votes will be elected as the P2 Class Justices. On the Mobile Campus, the nominee who receives the highest number of votes will be elected as the P2 Class Justice. The Dean will then select one primary member and one alternative member to serve on the Board from the 3 Class Justices elected across both campuses.

PROFESSIONAL YEAR P3: Within the spring semester, the Council will call for nominations of P2 students to represent their class as Class Justice with the understanding that the Class Justices will serve on the Board during their P3 year. Two weeks will be allowed for placement of names in nomination. After the nominations are closed, the President or Vice-President of the Council will contact each nominee to determine their willingness to serve on the Board. The Council will then prepare a ballot listing the names of all nominees willing to serve and conduct an election in which only members of the P2 class are eligible to vote. On the Auburn Campus, the nominees who receive the first and second highest number of votes will be elected as the P3 Class Justices. On the Mobile Campus, the nominee who receives the highest number of votes will be elected as the P3 Class Justice. The Dean will then select one primary member and one alternative member to serve on the Board from the 3 Class Justices elected across both campuses.

PROFESSIONAL YEAR P4: Within the spring semester, the Council will call for nominations of P3 students to represent their class as Class Justice with the understanding that the Class Justices will serve on the Board during their P4 year. Two weeks will be allowed for placement of names in nomination. After the nominations are closed, the President or Vice-President of the Council will contact each nominee to determine their willingness to serve and conduct an election in which only members of the P3 class are eligible to vote. The nominees who receive the first and second highest number of votes will be elected as the P4 Class Justices. The Dean will then select one primary member and one alternative member to serve on the Board from the 2 Class Justices elected.

- 5.01.3 The faculty members and faculty alternates will be appointed to three-year, staggered terms by the Dean. All necessary faculty appointments will be made by the end of the second full week of September.
- 5.01.4 Each year the Dean will appoint one of the student members as Chairperson and one of the faculty as Vice-Chairperson.
- 5.01.5 In the event that any member or alternate cannot complete his/her term, the Dean will make the appointments necessary to fill the vacancies.
- 5.02** The duties of the Board Chairperson:
 - 5.02.1 To call all regular and special meetings of the Board and to preside at all meetings.
 - 5.02.2 To receive and review, with the Vice-Chairperson, all notifications of alleged violations of the Code, and to notify all parties involved in the alleged violation as described in Section 11.00 and 12.00.
 - 5.02.3 To submit to the Dean in a timely manner, a written report of all findings and recommendations of the Board.

- 5.02.4 To assist the Office of the Dean in the dissemination of information concerning the provisions of the Code.
- 5.03** The duties of the Vice-Chairperson will include:
- 5.03.1 Notification of Board members of all regular and special meetings called.
- 5.03.2 To record the minutes of all regular and special meetings held by the Board.
- 5.03.3 To receive and review, with the Chairperson, all notifications of alleged violations of the Code, and to assist the Chairperson in the notification of all parties involved in the alleged violation as described in Sections 11.00 and 12.00.
- 5.03.4 To assist the Chairperson of the Board and the Office of the Dean in the notification of witnesses who are to be present at a hearing.
- 5.04** The functions and responsibilities of the Board:
- 5.04.1 All members and alternates may assist the Chairperson, Vice-Chairperson and Office of the Dean with the dissemination of information concerning the provisions of the Code.
- 5.04.2 All members and alternates may attend and participate in all called meetings of the Board, excluding hearings (Section 5.04.3).
- 5.04.3 To hear cases of alleged violations of the Code:
- 5.04.3.1 Hearings requested by students charged with violation of the Code will be heard by a Board Hearing Committee which will consist of the Chairperson, Vice-Chairperson, and the primary student and faculty members of the Board.
- 5.04.3.2 In the event that a member Board Hearing Committee is excused or cannot be present to hear a case, the Chairperson may appoint the alternate member to represent the appropriate pharmacy class or department as a member of the Board in place of the excused or absent member.
- 5.04.3.3 In the event that a member of the Board Hearing Committee is involved as a charging party or witness in the violation to be heard by the committee, the Chairperson will excuse that member and may appoint the alternate member to represent the appropriate pharmacy class or department as a member in place of the excused member.
- 5.04.3.4 In the event the Chairperson is involved as a charging party or witness, or is the accused in the violation to be heard by the committee, the Vice-Chairperson will excuse the Chairperson and will appoint an alternative Board representative from the class in which the Vice-Chairperson was a member of. In this case, the Dean will appoint a temporary Chairperson.

- 5.04.3.5 In the event the Vice-Chairperson is involved as a charging party or witness, or is the accused in the violation to be heard by the committee, the Chairperson will excuse the Vice-Chairperson. In this case, the Dean will appoint a temporary Vice-Chairperson.
- 5.04.3.6 If neither a member nor alternate member of the Board can be present to hear a case, the Board Hearing Committee may proceed provided the Chairperson, Vice-Chairperson and three other members, or duly appointed alternates are present.
- 5.04.3.7 Board Hearing Committee members or duly appointed alternates must be present during the entire hearing process to participate in subsequent deliberations.
- 5.04.3.8 All recommendations of the Board Hearing Committee will be determined by simple majority vote. Each member present will cast a vote of guilty or not guilty. The Chairperson will vote only in the event of a tie.
- 5.04.3.9 All recommendations and findings of the Board Hearing Committee will be forwarded to the Office of the Dean in a timely manner by the Chairperson.

6.00 RESPONSIBILITIES OF THE OFFICE OF THE DEAN

- 6.01** To administer the pledge (Section 2.01) to all students entering the professional programs of HSOP.
- 6.02** To provide information concerning the provisions of the Code and modifications of the Code to faculty, students and staff of HSOP.
- 6.03** To assist the Board Hearing Committee and any party involved in cases of alleged violation of the Code if such assistance is requested at a reasonable time prior to the scheduled hearing (Section 13.02).
- 6.04** To maintain confidential files regarding violations of the Code and all records concerning the findings and recommendations of the Board Hearing Committee.
 - 6.04.1 All records concerning violations of the Code will be filed for a period of six (6) years following hearing the case. Access to these records will be limited as indicated by applicable law, University Policy concerning student records, and the provisions of the Code.
- 6.05** To notify the accused party and the charging party of the Board Hearing Committee's recommendations and to implement sanctions as described in Section 16.00.
- 6.06** To hear all appeals as described in Section 16.00.
- 6.07** To implement all sanctions as described in Section 4.00.

7.00 COMMUNICATION

- 7.01** Auburn University has designated student email addresses as the official means of communication; all written communication regarding violations of the Code shall be through the Auburn University email system.

8.00 HEARING

- 8.01** A student has the right to a hearing for any charge of violation of the Code. If the student desires a hearing, he/she must file a written request with the Chairperson or Vice-Chairperson of the Board as set forth in Section 12.02. The right of a student to be heard will be waived if such a request is not filed within the time required by Section 12.02.

9.00 RIGHTS OF THE ACCUSED PARTY

- 9.01** The party accused of a violation of the Code has the following rights:
- 9.01.1 The right to be informed in writing of the specific charge or charges made against him/her and of any sanctions recommended by the charging party.
 - 9.01.2 The right to be informed in writing of the right of hearing, procedures involved in the hearing and the names of known witnesses.
 - 9.01.3 The right to receive written notice of the time and place of the hearing regarding the charge or charges if a hearing is requested by the student.
 - 9.01.4 The right to present witnesses and evidence and to be present throughout the presentation of all witnesses and evidence at the hearing, if a hearing is requested by the student.
 - 9.01.5 The right to have sanctions deferred until completion of the process described herein, including appeals to the Dean of HSOP and the President of Auburn University.

10.00 RIGHTS OF THE CHARGING PARTY

A member of the faculty, staff or student body who has submitted a written notice of an alleged violation (Section 12.00) is the charging party and as such has all the rights guaranteed the accused, including the right of appeal (Section 9.00).

11.00 PROCEDURES FOR FILING CHARGES

- 11.01** When an instructor detects or witnesses a violation of the Code pertaining to academic honesty, he/she shall provide a written notice of the alleged violation and any recommended sanctions to the Chairperson or Vice-Chairperson of the Board within ten (10) working-days of the time the alleged violation becomes known. Under no circumstances shall any accusation be made in public.
- 11.02** When a student or person other than an instructor detects or witnesses a violation of the Code pertaining to academic honesty he/she is advised to consult with the instructor in charge of the course in which the alleged violation occurred. Under these circumstances the instructor will then prepare a written notice and file the notice as described in Section 11.01 if he/she determines that the facts warrant such action. A student or person other than an instructor who detects or witnesses a violation of the Code pertaining to academic honesty may file written notice of the alleged violation directly to the Chairperson or Vice-Chairperson of the Board within ten (10) working days of the time the alleged violation becomes known. Under no circumstances shall any accusation be made in public.
- 11.03** Any person who detects or witnesses a violation of the Code pertaining to professional conduct shall provide written notice of the alleged violation to the Chairperson or Vice-Chairperson of the Board within ten (10) working days of the time the alleged violation becomes known. Under no circumstances shall any accusation be made in public.

12.00 PROCEDURES FOR PROCESSING CHARGES

- 12.01** The Chairperson and/or Vice-Chairperson of the Board will receive all written notices of alleged violations of the Code, and they shall prepare a written notice of charges which includes a specific listing of the charge or charges, the names of any known witnesses and a statement of the student's right to a hearing as well as the procedures involved in the hearing. Copies of this written notice are to be provided to the student accused of the violation, the charging party and the instructor in charge of the course in which the alleged violation occurred within five (5) working days.
- 12.02** If the student charged with violation of the Code desires a hearing before the Board, he/she must file a written request for a hearing to the Chairperson or Vice-Chairperson of the board within five (5) working days after receipt of notice of the charge(s) (Section 12.01). This request should include any reply or response the accused student wishes to make to the charges and the names of witnesses willing to testify on his/her behalf. The Chairperson or Vice-Chairperson will then send a copy of the request for hearing to all parties who received a copy of the written notice described in Section 11.01.
- 12.03** If the student charged with a violation of the Code does not request a hearing or fails to request a hearing within the time allowed (Section 12.02), the Board Hearing Committee will consider the case based on the presentation of evidence brought by the

charging party and will submit its findings and recommendations to the Dean. Such deliberations will follow hearing procedures outlined in section 14.00 and shall be completed no later than fifteen (15) class (working) days after the student charged has received the notice of the charge(s) (Section 12.01).

12.04 If the student charged with a violation of the Code requests a hearing, a date shall be set for a hearing and all parties involved notified by the Board of the date, time and place. The hearing shall not be scheduled less than five (5) working days from date of the request for hearing (Section 12.02).

13.00 PRESENTATION OF EVIDENCE AND WITNESSES

13.01 Each party shall have the right to present evidence and witnesses at the hearing and to be present throughout the presentation of all witnesses and evidence.

13.02 Each party shall arrange for the attendance of their own witnesses. The Office of the Dean may assist in securing the attendance of witnesses if a written request for such assistance is submitted to the office a reasonable time in advance of the hearing date. If either party requests that additional witnesses be present for good cause as determined by the Chairperson of the Board, the Board Hearing Committee may defer the hearing until such time that the witnesses may appear and be questioned.

13.03 The Board Hearing Committee may request the appearance of additional witnesses if the Board determines that such witnesses could present relevant information.

13.04 Any witness who wishes or needs to be excused should, in advance of the hearing, confer with the Office of the Dean. The decision reached during this conference will be communicated immediately by the Dean to the Chairperson or Vice-Chairperson of the Board who will then promptly relay any such information to all parties.

13.05 If a witness fails or refuses to appear, the Board Hearing Committee shall first determine whether or not to proceed on the basis of other evidence or witnesses available. If it is the decision of the Board Hearing Committee to proceed, the challenged portions of any written statements that may have been made by the absent witness shall be disregarded.

14.00 HEARING PROCEDURES

14.01 The Board Hearing Committee will conduct the hearing and all of its deliberations in closed and confidential session.

14.02 The hearing will be called to order by the Chairperson who will then identify by name members of the Board Hearing Committee who are present for the record.

- 14.03** The Chairperson will then identify by name the student charged with the alleged violation of the Code and his/her witnesses and the charging party and his/her witnesses.
- 14.03.1 In the event the student charged with the alleged violation of the Code or any of his/her witnesses or the charging party or any of his/her witnesses fail to appear, the Board Hearing Committee shall determine whether or not to proceed based on the witnesses and evidence available.
- 14.04** The Chairperson will read the charge and poll each member of the Board Hearing Committee to determine if any member has a prior opinion of guilt or innocence.
- 14.04.1 Any member of the Board Hearing Committee who has a prior opinion of guilt or innocence will be excused and the alternate member will hear the charge and a prior opinion of guilt or innocence will be determined.
- 14.05** The presentation of all evidence and witnesses to the Board Hearing Committee and questioning by the members of the Board Hearing Committee will proceed generally as described by the following:
- 14.05.1 The charging party will present his/her evidence and witnesses.
- 14.05.2 The student charged with an alleged violation of the Code will present his/her evidence and witnesses.
- 14.05.3 The members of the Board Hearing Committee will ask questions of all parties to the Board's satisfaction.
- 14.05.4 The Chairperson may recognize others present to speak if the Chairperson believes that the information provided is needed for the Board Hearing Committee to discharge their duties.
- 14.05.5 Following the presentation of all evidence and witnesses to the Board Hearing Committee and questioning by the members of the Board Hearing Committee, all parties will be excused while the Board Hearing Committee deliberates.
- 14.05.5.1 The parties will remain available in the event that they are recalled as described in Section 14.05.6.
- 14.05.6 The Chairperson may recall the parties for further questioning if it is deemed necessary for the Board Hearing Committee to discharge their duty. All parties have the right to be present during further questioning.
- 14.06** The Chairperson may grant a recess at the request of members of the Board Hearing Committee or the parties involved to allow time for further preparation.
- 14.07** The Chairperson and members of the Board Hearing Committee shall not discuss the evidence or testimony in the presence of the parties.

15.00 BOARD HEARING COMMITTEE DELIBERATIONS

15.01 The burden of proof rests with the charging party and will be satisfied by any clear and convincing evidence in the record when considered as a whole.

15.02 The failure of the student charged with an alleged violation of the Code to make a statement or to answer any or all questions shall not be considered in the determination of guilt or innocence by the Board Hearing Committee.

15.03 The Board Hearing Committee will begin deliberation immediately following the hearing and continue their deliberations until verdict of guilty or not guilty is reached. The verdict will be determined by simple majority vote as stipulated in Section 5.04.3.8.

15.04 A student's prior record of sanctions shall be inadmissible as evidence to provide innocence or guilt. The student's prior record of sanctions must be considered by the Board Hearing Committee in the determination of the appropriate sanctions if the student is judged guilty of the present violation.

15.05 In the event a verdict of guilty is reached, the Board Hearing Committee will consider recommended sanctions as stipulated in Section 4.00.

15.05.1 The deliberation and determination of sanctions to be recommended may be postponed until precedential cases can be reviewed. Deliberations concerning sanctions must be completed within two working days after the determination of the guilty verdict.

15.06 In the event a verdict of guilty is reached, the Board Hearing Committee will submit its findings and recommended sanctions to the Office of the Dean as stipulated in Section 16.00.

15.06.1 The findings and recommendations of the Board Hearing Committee shall be submitted to the Office of the Dean in a timely manner by the Chairperson.

16.00 ACTIONS IN RESPONSE TO BOARD HEARING COMMITTEE'S FINDINGS

16.01 The Dean will receive the findings and recommendations of the Board Hearing Committee, and notify the parties involved of the action to be taken by HSOP.

16.01.1 The Dean will notify the student charged, in writing, of the findings and, in the case of a guilty verdict, any sanctions to be imposed. Copies of this notification of action shall be sent to all parties.

16.02 Upon notification of action, either party may appeal to the Office of the Dean as stipulated below:

- 16.02.1 The appeal must be filed in writing within five class days after receipt of notification of the action described in Section 16.01. The appeal must include a statement of the asserted facts and the argument concerning appeal.
- 16.02.2 The Office of the Dean shall send a copy of the appeal to all parties who received the notification of action described in Section 16.01.
- 16.02.3 The other parties may submit a written response to the appeal within five class days of receipt of the appeal described in Section 16.02.1.
- 16.03** The Dean shall consider the appeal and any responses by the other parties.
- 16.03.1 The Dean may return the case to the Board Hearing Committee if additional evidence is brought to his/her attention which was not presented during the hearing and which could affect his/her decision regarding the case. In this event the Board Hearing Committee will consider the additional evidence and report its findings and recommendations to the Dean.
- 16.03.2 Prior to any change in recommendation or sanction, the Dean will confer with the Board Hearing Committee.
- 16.04** The Office of the Dean shall notify all parties of his/her decision(s) regarding the appeal. This notification shall represent HSOP's final action.
- 16.05** Upon notification of final action (Section 16.04), either party may appeal to the President of Auburn University.
- 16.05.1 Such appeals must be made in writing within five (5) working days after receipt of notification from the Office of the Dean regarding the preliminary appeal (Section 16.04). Appeals to the President of Auburn University must include a full statement of asserted facts and the argument concerning appeal. The appealing party shall send a copy of the appeal to the Office of the Dean of HSOP, and that office will send a notice of appeal to all parties who received notice of the actions to be taken by HSOP as stipulated in Section 16.01. The other party may submit a written response within five (5) working days of notification of appeal to the President. The President will consider the appeal and any response. Prior to a change in the sanction(s), the President will confer with the Office and the Dean and the Board Hearing Committee of HSOP. He will then respond in writing to the appealing party and send notification of this response to all parties who received notice of this appeal. The President's decision will constitute the final action of Auburn University.
- 16.06** The Dean shall notify the Registrar in writing when the notation "assigned for academic dishonesty" is to be placed on a transcript and/or when suspension or expulsion is assigned. Such notification shall not be given for five (5) working days after the Dean's notification of findings and sanctions (Section 16.01) or until after completion of all appeals (Sections 16.02 and 16.05), whichever is later.

- 16.07** The Office of the Dean shall monitor probation.
- 16.08** A student may not graduate during the appeal process.
- 16.09** The student shall be responsible for requesting removal of any notation of sanction from his/her record (Section 4.00) when the period of sanction has expired. Such requests must be made in writing to the Office of the Dean, who will notify the Registrar in writing to remove the notation of sanction from the student's record.
- 16.10** A student returning after completion of a suspension will follow the same procedure of registration as any other returning student.

17.00 **AMENDMENTS AND REVISIONS**

- 17.01** Proposed amendments and revisions shall be submitted to the faculty and student body of HSOP through the Board of Ethical and Professional Conduct. A majority vote of the student body and a majority vote of the faculty is necessary for the adoption of amendments, with a majority defined as greater than 50% of those voting. Amendments and revisions so adopted are then subject to the approval of the Dean of HSOP and the President of Auburn University.

Revised July 2008

Revisions Approved by Faculty and the Dean July 2008

Revisions Approved by Student Pharmacists January 2009